

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015				
1	Name of Listed Entity: Rico Auto Industries Limited			
2	Scrip Code/Name of Scrip/Class of Security: BSE/NSE/520008/RICOAUTO / EQUITY			
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c): 31(1)(b)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending: 31/12/2017		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				
5	The tabular format for disclosure of holding of specified securities is as follows:			



RICO AUTO INDUSTRIES LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+ VI)	(VIII)= As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	14	67779978	0	0	67779978	50.10	67779978	0	67779978	50.63	0	50.10	0	0.00	0	0.00	67779978
(B)	Public	57096	67505022	0	0	67505022	49.90	66102930	0	66102930	49.37	0	49.90	0	0.00	0	0.00	66853601
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares Underlying DRs	0	0	0	0	0	N.A	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	57110	135285000	0	0	135285000	100.00	133882908	0	133882908	100.00	0	100.00	0	0.00	0	0.00	134633579



RICO AUTO INDUSTRIES LIMITED
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)= As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		10	27501188	0	0	27501188	20.33	27501188	0	27501188	20.54	0	20.33	0	0.00	0	0.00	27501188
	ARVIND KAPUR	AERPK9677E		12652460	0	0	12652460	9.35	12652460	0	12652460	9.45	0.00	9.35	0	0.00	0	0.00	12652460
	ARUN KAPUR	ACBPK0798C		8651160	0	0	8651160	6.39	8651160	0	8651160	6.46	0.00	6.39	0	0.00	0	0.00	8651160
	RAKESH KAPUR	AERPK9676F		2398720	0	0	2398720	1.77	2398720	0	2398720	1.79	0.00	1.77	0	0.00	0	0.00	2398720
	SHALINI KAPUR	AIXPK5439J		1366148	0	0	1366148	1.01	1366148	0	1366148	1.02	0.00	1.01	0	0.00	0	0.00	1366148
	UPASNA KAPUR	AARPK2357D		966920	0	0	966920	0.71	966920	0	966920	0.72	0.00	0.71	0	0.00	0	0.00	966920
	RITU KAPUR	BVIPK1341Q		723140	0	0	723140	0.53	723140	0	723140	0.54	0.00	0.53	0	0.00	0	0.00	723140
	NYLA KAPUR	AGHPK6035G		354040	0	0	354040	0.26	354040	0	354040	0.26	0.00	0.26	0	0.00	0	0.00	354040
	SAMARTH KAPUR	BJSPO338D		194800	0	0	194800	0.14	194800	0	194800	0.15	0.00	0.14	0	0.00	0	0.00	194800
	SHIVANI KAPUR	AKMPK1377Q		189800	0	0	189800	0.14	189800	0	189800	0.14	0.00	0.14	0	0.00	0	0.00	189800
	PROMILA SIKKA	AIKPS0044E		4000	0	0	4000	0.00	4000	0	4000	0.00	0.00	0.00	0	0.00	0	0.00	4000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
	Bodies Corporate		3	40199790	0	0	40199790	29.71	40199790	0	40199790	30.03	0.00	29.71	0	0.00	0	0.00	40199790
	KAPSONS ASSOCIATES INVESTMENTS PRIVATE LIMITED	AAACK7243A		20838321	0	0	20838321	15.40	20838321	0	20838321	15.56	0.00	15.40	0	0.00	0	0.00	20838321
	MERAKI MANUFACTURING AND FINVEST ADVISORS PVT. LTD	AAKCM8780C		11790841	0	0	11790841	8.72	11790841	0	11790841	8.81	0.00	8.72	0	0.00	0	0.00	11790841
	HIGAIN INVESTMENT PVT LTD	AAACH0276J		7570628	0	0	7570628	5.60	7570628	0	7570628	5.65	0.00	5.60	0	0.00	0	0.00	7570628
	Sub Total (A)(1)		13	67700978	0	0	67700978	50.04	67700978	0	67700978	50.57	0.00	50.04	0	0.00	0	0.00	67700978
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	79000	0	0	79000	0.06	79000	0	79000	0.06	0.00	0.06	0	0.00	0	0.00	79000
	ROMILLA BAHL	ANEPB9143N		79000	0	0	79000	0.06	79000	0	79000	0.06	0.00	0.06	0	0.00	0	0.00	79000
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)		1	79000	0	0	79000	0.06	79000	0	79000	0.06	0.00	0.06	0	0.00	0	0.00	79000
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	67779978	0	0	67779978	50.10	67779978	0	67779978	50.63	0	50.10	0	0.00	0	0.00	67779978

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
(Kindly start filing data from Row No - 3)

No. of Shareholders	No of Shares held
0	0



RICO AUTO INDUSTRIES LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	No. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957	Number of Voting Rights held in class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)= As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1	Institutions																	NA	
(a)	Mutual Fund		12	10514764	0	0	10514764	7.77	10514764	0	10514764	7.85	0	7.77	0	0.00	NA	10514764	
1	L&T MUTUAL FUND TRUSTEE LTD - L&T EMERGING BUSINESS FUND	AAATC4460E		2976000	0	0	2976000	2.20	2976000	0	2976000	2.22	0	2.20	0	0.00	NA	2976000	
2	RELIANCE CAPITAL TRUSTEE CO LTD A/C RELIANCE REGULAR SAVINGS FUND- BALANCED OPTION	AAATR0090B		4027130	0	0	4027130	2.98	4027130	0	4027130	3.01	0	2.98	0	0.00	NA	4027130	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Foreign Portfolio Investors		14	1579955	0	0	1579955	1.17	1579955	0	1579955	1.18	0	1.17	0	0.00	NA	1579955	
(f)	Financial Institutions / Banks		3	29851	0	0	29851	0.02	29851	0	29851	0.02	0	0.02	0	0.00	NA	29851	
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
	Sub Total (B)(1)		29	12124570	0	0	12124570	8.96	12124570	0	12124570	9.06	0	8.96	0	0.00	NA	12124570	
2	Central Government/ State Government(s)/ President of India		1	38492	0	0	38492	0.03	0	0	0	0.00	0	0.00	0	0.00	NA	38492	
	Sub Total (B)(2)		1	38492	0	0	38492	0.03	0	0	0	0.00	0	0.03	0	0.00	NA	38492	
3	Non-Institutions																	NA	
(a)	Individuals:		55401	45135971	0	0	45135971	33.36	45135971	0	45135971	33.71		33.36			NA	44524550	
	i) Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		55387	38221183	0	0	38221183	28.25	38221183	0	38221183	28.55	0	28.25	0	0.00	NA	37609762	
	ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		14	6914788	0	0	6914788	5.11	6914788	0	6914788	5.16	0	5.11	0	0.00	NA	6914788	
	MUKUL AGARWAL	AAFPA4859G		1700000			1700000	1.26	1700000	0	1700000	1.27	0	1.26	0	0.00	NA	1700000	
(b)	NBFCs registered with RBI		1	4	0	0	4	0.00	4	0	4	0.00					NA	4	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Any Other (Specify)		1664	10205985	0	0	10205985	7.54	8842385	0	8842385	6.60		7.54			NA	10165985	
	Bodies Corporate		1009	8220533	0	0	8220533	6.08	6856933	0	6856933	5.12	0	6.08	0	0.00	NA	8180533	
	RICO AUTO INDUSTRIES LIMITED UNCLAIMED SUSPENSE A/C	AAACR8724R		1363600	0	0	1363600	1.01	0	0	0	0.00	0	1.01	0	0.00	NA	1363600	
	Non Resident Individuals		652	1979932	0	0	1979932	1.46	1979932	0	1979932	1.48	0	1.46	0	0.00	NA	1979932	
	Trusts		3	5520	0	0	5520	0.00	5520	0	5520	0.00	0	0.00	0	0.00	NA	5520	
	Cooperative Society		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
	Sub Total (B)(3)		57066	55341960	0	0	55341960	40.91	53978360	0	53978360	40.32	0	40.91	0	0.00	NA	54690539	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		57096	67505022	0	0	67505022	49.90	66102930	0	66102930	49.37	0	49.90	0	0.00	NA	66853601	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Details of the shareholders acting as persons in Concert including their Shareholding:

(Kindly start filing data from Row No - 3)

Name of Shareholder	Name of PAC	No. of Shares	Holding %



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

(Kindly start filing data from Row No - 3)

No. of Shareholders	No. of Shares
6466	1363600



RICO AUTO INDUSTRIES LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	No. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total No. of shares held	Share holding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percent age of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)= As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII+X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

